

**PATENT** 

# DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION (CONTINUATION-IN-PART)

As a below named invent	or, I hereby declare that:			
My residence, post office	address and citizenship	are as stated below, next to my	name.	
and joint inventor (if plura which a patent is sought	I names are listed below)	f only one name is listed below) of the subject matter which is o REVERSIBLE EMBEDDED WA	laimed ar	nd for
IMPLEMENTATION				
the specification of which				
X was filed		Number09/704,991cation Number		
•	and was amended on twi	(if applicable)	··	
	reviewed and understand mended by any amendm	d the contents of the above-iden ent referred to above.	tified spec	cification,
	o disclose all information l of Federal Regulations, S	known to me to be material to page section 1.56.	atentabilit	y as
foreign application(s) for	patent or inventor's certifi tent or inventor's certificat	85, United States Code, Section cate listed below and have also te having a filing date before that	identified	below any
Prior Foreign Application	<u>(s)</u>		Priori <u>Claim</u>	•
(Number)	(Country)	(Foreign Filing Date - MM/DD/YYYY)	Yes	No
(Number)	(Country)	(Foreign Filing Date - MM/DD/YYYY)	Yes	No
(Number)	(Country)	(Foreign Filing Date - MM/DD/YYYY)	Yes	No
I hereby claim the benefit provisional application(s)	· · · · · · · · · · · · · · · · · · ·	ites Code, Section 119(e) of any	y United S	States
Application Number	(Filing Date – I	MM/DD/YYYY)		
Application Number	(Filing Date - I	MM/DD/YYYY)		

08/847,074	5/1/1997	abandoned
Application Number	(Filing Date – MM/DD/YYYY)	Status patented, pending, abandoned
Application Number	(Filing Date - MM/DD/YYYY)	Status patented, pending, abandoned
part of this document) as	my respective patent attorneys and on, to prosecute this application and to nnected herewith.	to transact all business in the Patent
ZAFMAN LLP, 12400 W telephone calls to M	to <u>Michael J. Mallie</u> (Name of Attorney or Agent) ilshire Boulevard 7th Floor, Los Ar <u>ichael J. Mallie</u> , (408) ame of Attorney or Agent)	, BLAKELY, SOKOLOFF, TAYLOR & ngeles, California 90025 and direct 720-8300.
statements made on in statements were made are punishable by fine	or imprisonment, or both, under So uch willful false statements may je	o be true; and further that these se statements and the like so made ection 1001 of Title 18 of the United
Full Name of Sole/First I	nventor Edward L. Schwartz	
Inventor's Signature		Date
Residence Sunnyvale,	California Citiz (City, State)	zenship U.S.A. (Country)
Post Office Address 713	Pierino Avenue Innyvale, California 94086	
Full Name of Second/Joi	nt Inventor Ahmad Zandi	
Inventor's Signature	Amad Land	Date <u>August 3, 200)</u>
Residence <u>Morgan Hill, (</u>	California Citiz (City, State)	zenship <u>U.S.A.</u> (Country)
Post Office Address 18	390 Padua Ct rgan Hill, California 95037	
Full Name of Third/Joint	Inventor Alexander Keith	
Inventor's Signature		Date
Residence <u>Santa Clara</u>	, <u>California</u> Citiz (City, State)	
Post Office Address 63	0 Park Court anta Clara, California, 95050	

Full Name of Fourth/	Joint Inventor Michael J. Gormish		
Inventor's Signature		Date	
Residence Redwoo	d City, California (City, State)		
Post Office Address	931 15 <sup>th</sup> Avenue Redwood City, California 94063		
Full Name of Fifth/Jo	int Inventor Martin Boliek		
Inventor's Signature		Date	
Residence San Fra	ncisco, California (City, State)	Citizenship U.S.A.	(Country)
Post Office Address	127 Farnum Street San Francisco, California 94131		
Full Name of Sixth/Jo	oint Inventor		
Inventor's Signature		Date	
Residence	(City, State)	_ Citizenship	(Country)
Post Office Address			
Full Name of Seventh	n/Joint Inventor	and the second s	
Inventor's Signature	******	Date	
Residence	(City, State)	Citizenship	(Country)
Post Office Address			

#### APPENDIX A

William E. Alford, Reg. No. 37,764; Farzad E. Amini, Reg. No. 42,261; William Thomas Babbitt, Reg. No. 39,591; Carol F. Barry, Reg. No. 41,600; Jordan Michael Becker, Reg. No. 39,602; Lisa N. Benado, Reg. No. 39,995; Bradley J. Bereznak, Reg. No. 33,474; Michael A. Bernadicou, Reg. No. 35,934; Roger W. Blakely, Jr., Reg. No. 25,831; R. Alan Burnett, Reg. No. 46,149; Gregory D. Caldwell, Reg. No. 39,926; Thomas M. Coester, Reg. No. 39,637; Donna Jo Coningsby, Reg. No. 41,684; Florin Corie, Reg. No. 46,244; Mimi Diemmy Dao, Reg. No. 46,628; Dennis M. deGuzman, Reg. No. 41,702; Stephen M. De Klerk, Reg. No. 46,503; Michael Anthony DeSanctis, Reg. No. 39,957; Daniel M. De Vos, Reg. No. 37,813; Justin M. Dillon, Reg. No. 42,486; Sanjeet Dutta, Reg. No. 46,145; Matthew C. Fagan, Reg. No. 37,542; Tarek N. Fahmi, Reg. No. 41,022; Thomas S. Ferrill, Reg. No. 42,532; George Fountain, Reg. No. 37,542; Tarek N. Fahmi, Reg. No. 41,022; Thomas S. Ferrill, Reg. No. 42,532; George Fountain, Reg. No. 37,374; James Y. Go, Reg. No. 40,621; James A. Henry, Reg. No. 41,064; Libby N. Ho, Reg. No. 46,774; Willmore F. Holbrow III, Reg. No. 41,845; Sherryl Sue Holloway, Reg. No. 37,850; George W Hoover II, Reg. No. 32,992; Eric S. Hyman, Reg. No. 30,139; William W. Kidd, Reg. No. 31,772; Sang Hui Kim, Reg. No. 40,450; Walter T. Kim, Reg. No. 42,799; Gordon R. Lindeen III, Reg. No. 33,192; Jan Carol Little, Reg. No. 41,181; Robert G. Litts, Reg. No. 46,876; Julio Loza, Reg. No. P048,095; Paul A. Mendonsa, Reg. No. 43,765; Michael J. Mallie, Reg. No. 36,591; Andre L. Marais, Reg. No. P048,095; Paul A. Mendonsa, Reg. No. 42,879; Clive D. Menezes, Reg. No. 46,876; Julio Loza, Reg. No. 40,972; Daniel E. Ovanezian, Reg. No. 41,236; Kenneth B. Paley, Reg. No. 38,989; Gregg A. Peacock, Reg. No. 46,972; Daniel E. Ovanezian, Reg. No. 41,236; Kenneth B. Paley, Reg. No. 39,989; Edwin H. Taylor, Reg. No. 25,128; Judith A. Szepesi, Reg. No. 39,9393; Edwin H. Taylor, Reg. No. 35,668; William W. Schaal, Reg. No. 45,750; William F. Ryann, Reg. No. 42,1

#### APPENDIX B

# Title 37, Code of Federal Regulations, Section 1.56 <u>Duty to Disclose Information Material to Patentability</u>

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
  - (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
  - (2) It refutes, or is inconsistent with, a position the applicant takes in:
    - (i) Opposing an argument of unpatentability relied on by the Office, or
    - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
  - (1) Each inventor named in the application;
  - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or/inventor may comply with this section by disclosing information to the attorney, agent, or inventor.
- (e) In any continuation-in-part application, the duty under this section includes the duty to disclose to the Office all information known to the person to be material to patentability, as defined in paragraph (b) of this section, which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.



**PATENT** 

# DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION (CONTINUATION-IN-PART)

		are as stated		

As a below named invento	or, I hereby declare that:			
My residence, post office	address and citizenship	are as stated below, next to my	name.	
and joint inventor (if plural	names are listed below)	if only one name is listed below) of the subject matter which is c REVERSIBLE EMBEDDED WA	laimed an	d for
the specification of which				
L o	on (MM/DD/YYYY) <u>Nov</u> Inited States Application	Number09/704,991 ication Number		
I hereby state that I have including the claims, as a		d the contents of the above-iden ent referred to above.	tified spec	cification,
I acknowledge the duty to defined in Title 37, Code of		known to me to be material to pa Section 1.56.	atentability	y as
foreign application(s) for p	patent or inventor's certifi ent or inventor's certificat	35, United States Code, Section cate listed below and have also te having a filing date before tha	identified	below any
Prior Foreign Application(	<u>s)</u>		Priori <u>Claim</u>	
(Number)	(Country)	(Foreign Filing Date - MM/DD/YYYY)	Yes	No
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Application Number	(Filing Date –	MM/DD/YYYY)		,
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08/847,074	5/1/1997	abandoned
Application Numb	per (Filing Date – MM/DD/YYYY)	Status patented, pending, abandoned
Application Numb	per (Filing Date – MM/DD/YYYY)	Status patented, pending, abandoned
part of this document substitution and revo	persons listed on Appendix A hereto (w ) as my respective patent attorneys and cation, to prosecute this application and e connected herewith.	hich is incorporated by reference and a did patent agents, with full power of the transact all business in the Patent
ZAFMAN LLP, 1240	ce to Michael J. Mallie (Name of Attorney or Agent)  Wilshire Boulevard 7th Floor, Los A Michael J. Mallie (Name of Attorney or Agent)	_, BLAKELY, SOKOLOFF, TAYLOR & Angeles, California 90025 and direct 8) 720-8300.
statements made or statements were ma are punishable by fi States Code and tha	t all statements made herein of my on information and belief are believed ade with the knowledge that willful fance or imprisonment, or both, under at such willful false statements may latent issued thereon.	to be true; and further that these dise statements and the like so made Section 1001 of Title 18 of the United
Full Name of Sole/Fir	st Inventor Edward L. Schwartz	
Inventor's Signature _		Date
Residence Sunnyva	le, California Ci (City, State)	tizenship U.S.A. (Country)
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inventor's Signature _	·	Date
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nventor's Signature _	Alexand 7 The A	Date July 31, 2001
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Inventor's Signature	Date	
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Inventor's Signature		·
Residence (City, State)		(Country)
Post Office Address		· · · · · · · · · · · · · · · · · · ·
Full Name of Seventh/Joint Inventor		
Inventor's Signature		. //
Residence (City, State)		
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## APPENDIX B

# Title 37, Code of Federal Regulations, Section 1.56 Duty to Disclose Information Material to Patentability

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
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- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:  $\cdot$ 
  - (1) Each inventor named in the application;
  - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or/inventor may comply with this section by disclosing information to the attorney, agent, or inventor.
- (e) In any continuation-in-part application, the duty under this section includes the duty to disclose to the Office all information known to the person to be material to patentability, as defined in paragraph (b) of this section, which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.



**PATENT** 

# DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION (CONTINUATION-IN-PART)

As a below named invent	or, I hereby declare that	:		
My residence, post office	address and citizenship	are as stated below, next to my	name.	
and joint inventor (if plura	I names are listed below	(if only one name is listed below) v) of the subject matter which is o REVERSIBLE EMBEDDED WA	laimed ar	nd for
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X was filed		n Number09/704,991 plication Number		
I hereby state that I have including the claims, as a		nd the contents of the above-iden	tified spe	cification,
I acknowledge the duty to defined in Title 37, Code	disclose all information of Federal Regulations,	known to me to be material to pa Section 1.56.	atentabilit	y as
foreign application(s) for p	patent or inventor's certi ent or inventor's certifica	35, United States Code, Section ficate listed below and have also ate having a filing date before that	identified	below any
Prior Foreign Application(	<u>s)</u>		Priori <u>Claim</u>	
(Number)	(Country)	(Foreign Filing Date - MM/DD/YYYY)	Yes	No
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Application Number	(Filing Date –	MM/DD/YYYY)		

Application Number

(Filing Date – MM/DD/YYYY)

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Application Number	ber (Filing Date - MM/DD/	(YYY) Status	s patente pendin	d, g, abandoned
part of this documen substitution and revo	persons listed on Appendix A here t) as my respective patent attorne cation, to prosecute this application e connected herewith.	s and patent a	gents, with	full power of
Send corresponder ZAFMAN LLP, 1240 telephone calls to	nce to <u>Michael J. Mallie</u> (Name of Attorney or Age 0 Wilshire Boulevard 7th Floor, <u>Michael J. Mallie</u> (Name of Attorney or Agent)	Los Angeles, (	California 9	LOFF, TAYLOR &
statements made or statements were ma are punishable by fi States Code and tha	at all statements made herein of in information and belief are beli ade with the knowledge that will ine or imprisonment, or both, ur at such willful false statements patent issued thereon.	eved to be true ful false stater nder Section 10	e; and furt ments and 001 of Title	her that these the like so made a 18 of the United
Full Name of Colo/Fir	on lower to the control of the control			
	st Inventor Edward L. Schwartz			-, /
nventor's Signature	4h fr	Da	ite ZC	July 2001
Residence <u>Sunnyva</u>	lle, California (City, State)	Citizenship _	U.S.A.	(Country)
Post Office Address _	713 Pierino Avenue Sunnyvale, California 94086			
Full Name of Second	Joint Inventor Ahmad Zandi			
nventor's Signature _		Da	te	
Residence <u>Morgan H</u>	ill, California (City, State)	_ Citizenship	U.S.A.	(Country)
Post Office Address	18390 Padua Ct Morgan Hill, California 95037			
full Name of Third/Jo	int Inventor Alexander Keith	•		
nventor's Signature _		Dat		
Residence <u>Santa Cla</u>		_ Citizenship _	U.S.A.	(Country)
ost Office Address _	630 Park Court		<del></del>	

Full Name of Fourth	/Joint Inventor <u>Micha</u>	ael J. Gormish	·	<u></u>		
Inventor's Signature	- zin	m	*	Date <u>26</u>	JULY 20	01
Residence Redwoo	od City, California		_ Citizenshi	ip U.S.A.		
	(City, State)				(Country)	
Post Office Address						
	Redwood City, Ca	lifornia 94063				
Full Name of Fifth/Jo	oint Inventor Martin E	3oliek	1			
Inventor's Signature	11111	15	<i></i>	Data 2 /	1/0/	
_				Date <u>26</u>		
Residence San Fra	ncisco, California		_ Citizenshi	p <u>U.S.A.</u> .	78	
	(City, State)				(Country)	
Post Office Address						
	San Francisco, Cali	Tornia 94131		····		
Full Name of Sixth/Jo	oint Inventor					
Inventor's Signature						
Residence			Citizenshir	<b>1</b>		•
Residence	(City, State)		_ 01201101111	o	(Country)	
Post Office Address				~		
•				<del></del> -	· · · · · · · · · · · · · · · · · · ·	
Full Name of Seventh	n/Joint Inventor					
Inventor's Signature				Date		
Residence		,				
	(City, State)		···· <b>r</b>	)	(Country)	
Post Office Address						
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### APPENDIX A

William E. Alford, Reg. No. 37,764; Farzad E. Amini, Reg. No. 42,261; William Thomas Babbitt, Reg. No. 39,591; Carol F. Barry, Reg. No. 41,600; Jordan Michael Becker, Reg. No. 39,602; Lisa N. Benado, Reg. No. 39,995; Bradley J. Bereznak, Reg. No. 33,474; Michael A. Bernadicou, Reg. No. 35,934; Roger W. Blakely, Jr., Reg. No. 25,831; R. Alan Burnett, Reg. No. 46,149; Gregory D. Caldwell, Reg. No. 39,926; Thomas M. Coester, Reg. No. 39,637; Donna Jo Coningsby, Reg. No. 41,684; Florin Corie, Reg. No. 46,244; Mimi Diemmy Dao, Reg. No. 45,628; Dennis M. deGuzman, Reg. No. 41,702; Stephen M. De Klerk, Reg. No. 46,503; Michael Anthony DeSanctis, Reg. No. 39,957; Daniel M. De Vos, Reg. No. 37,813; Justin M. Dillon, Reg. No. 42,486; Sanjeet Dutta, Reg. No. 46,145; Matthew C. Fagan, Reg. No. 37,542; Tarek N. Fahmi, Reg. No. 41,402; Thomas S. Ferrill, Reg. No. 42,532; George Fountain, Reg. No. 37,374; James Y. Go, Reg. No. 40,621; James A. Henry, Reg. No. 41,064; Libby N. Ho, Reg. No. 46,774; Willmore F. Holbrow III, Reg. No. 41,845; Sheryl Sue Holloway, Reg. No. 37,850; George W Hoover II, Reg. No. 32,992; Eric S. Hyman, Reg. No. 30,139; William W. Kidd, Reg. No. 31,772; Sang Hui Kim, Reg. No. 40,450; Walter T. Kim, Reg. No. 42,731; Eric T. King, Reg. No. 44,188; George Brian Leavell, Reg. No. 45,436; Kurt P. Leyendecker, Reg. No. 42,799; Gordon R. Lindeen III, Reg. No. 33,192; Jan Carol Little, Reg. No. 41,181; Robert G. Litts, Reg. No. 46,876; Julio Loza, Reg. No. P47,758; Joseph Lutz, Reg. No. 43,765; Michael J. Mallie, Reg. No. 36,591; Andre L. Marais, Reg. No. P048,095; Paul A. Mendonsa, Reg. No. 42,879; Clive D. Menezes, Reg. No. 45,493; Chun M. Ng, Reg. No. 36,878; Thien T. Nguyen, Reg. No. 43,835; Thinh V. Nguyen, Reg. No. 42,034; Robert B. O'Rourke, Reg. No. 46,972; Daniel E. Ovanezian, Reg. No. 41,236; Kenneth B. Paley, Reg. No. 38,989; Gregg A. Peacock, Reg. No. 45,001; Marina Portnova, Reg. No. 45,750; William F. Ryann, Reg. 44,313; James H. Salter, Reg. No. 35,668: William W. Schaal, Reg. No. 39,018; James C. Scheller, Reg. No. 31,195; Jeffrey S. Schubert, Reg. No. 43,098; George Simion, Reg. No. P47,089; Maria McCormack Sobrino, Reg. No. 31,639; Stanley W. 43,098; George Simion, Reg. No. P47,089; Maria McCormack Sobrino, Reg. No. 3,039; Stanley W. Sokoloff, Reg. No. 25,128; Judith A. Szepesi, Reg. No. 39,393; Edwin H. Taylor, Reg. No. 25,129; John F. Travis, Reg. No. 43,203; Joseph A. Twarowski, Reg. No. 42,191; Mark C. Van Ness, Reg. No. 39,865; Tom Van Zandt, Reg. No. 43,219; Brent E. Vecchia, Reg. No. P48,011; Lester J. Vincent, Reg. No. 31,460; Archana B. Vittal, Reg. No. 45,182; Glenn E. Von Tersch, Reg. No. 41,364; John Patrick Ward, Reg. No. 40,216; Mark L. Watson, Reg. No. 46,322; Thomas C. Webster, Reg. No. 46,154; and Norman Zafman, Reg. No. 26,250; my patent attorneys, and Firasat Ali, Reg. No. 45,715; and Raul Martinez, Reg. No. 46,904, my patent agents, of BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP, with offices located at 12400 Wilshire Rouleyard. 7th Floor. Los Angeles. California, 90025, telephone (310) 207-3800, and at 12400 Wilshire Boulevard, 7th Floor, Los Angeles, California 90025, telephone (310) 207-3800, and James R. Thein, Reg. No. 31,710, my patent attorney with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.



#### Title 37, Code of Federal Regulations, Section 1.56 Duty to Disclose Information Material to Patentability

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
  - (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
- (1) It establishes, by itself or in combination with other information, a prime facile case of unpatentability of a claim; or
  - (2) It refutes, or is inconsistent with, a position the applicant takes in:
    - (i) Opposing an argument of unpatentability relied on by the Office, or
    - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
  - (1) Each inventor named in the application:
  - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or/inventor may comply with this section by disclosing information to the attorney, agent, or inventor.
- (e) In any continuation-in-part application, the duty under this section includes the duty to disclose to the Office all information known to the person to be material to patentability, as defined in paragraph (b) of this section, which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.